

02/05/01

02-06-01

A

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FACSIMILE 314-231-5400
http://www.senniger.com
PATENTS, TRADEMARKS, COPYRIGHTS
AND RELATED MATTERS

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STUART N. SENNIGER
(1921-1997)

February 5, 2001

UTILITY PATENT APPLICATION TRANSMITTAL
(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: MTC 6770
First Named Inventor: David A. Morgenstern et al.
Title: PROCESS FOR THE PREPARATION OF
N-(PHOSPHONOMETHYL)GLYCINE BY OXIDIZING
N-SUBSTITUTED N-(PHOSPHONOMETHYL)GLYCINE
Express Mail Label Number: EL EL742308423US

TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form
(original and duplicate)
2. ☐ Applicant claims small entity status
3. ☒ Specification [Total Pages 143]
4. ☒ Drawings [Total Sheets 1]
5. Oath or Declaration [Total Pages 5]
 - a. ☐ Newly executed (original or copy)
☐ New (unexecuted)
 - b. ☒ Copy from a prior application
(for continuation/divisional with
Box 19 completed)
 - i. ☐ DELETION OF INVENTOR(s)
Signed statement attached
deleting inventor(s) named
in prior application.

Application Number to be assigned
Filing Date February 5, 2001
First Named Inventor David A. Morgenstern
Attorney Docket Number MTC 6770

1. [] The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.

[] The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.

[] Applicant claims small entity status.

2. [X] Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

1. [X] BASIC FILING FEE Subtotal (1) \$710.00
(Type: Utility)

2. [X] EXTRA CLAIM FEES Subtotal (2) \$368.00

Total Claims 36
Independent Claims 4
Multiple Dependent Claims 0

3. [] ADDITIONAL FEES Subtotal (3) \$_____

[] Surcharge - late filing fee or oath
[] Surcharge - late provisional filing fee or cover sheet
[] Extension for reply within _____ month
[] Notice of Appeal
[] Filing a Brief in Support of an appeal
[] Request for ex parte Reexamination
[] Petitions to the Commissioner
[] Submission of Information Disclosure Statement
[] Recording each patent assignment per property
[] Request for Continued Examination
[] Other: _____

John K. Roedel, Jr., Reg. No. 25,914 Date Feb. 5, 2001

Express Mail Label No. EL742308423US

6. ☒ Incorporation By Reference
(useable if Box 5b is marked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO-1449 ☐ Copies of IDS Citations
14. ☒ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: _____

IF A CONTINUING APPLICATION, CHECK APPROPRIATE
BOXES AND SUPPLY THE REQUISITE INFORMATION

19. ☐ Continuation ☒ Divisional ☐ Continuation-in-Part
of prior application No.: 09/263,171, filed 03/05/99 which
is a Non Provisional of Provisional Serial No. 60/096,207,
filed 08/12/98 and is a Continuation-in-Part of Serial No.
09/023,404, filed 02/12/98, and also claims priority from
PCT/US98/02883, filed 02/12/98.

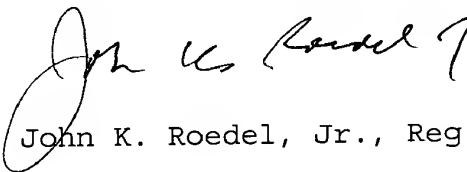
☐ Complete Application based on provisional Application
No. _____

Prior application information: Examiner: M. Ambrose
Group Art Unit: 1626

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321
Attention: John K. Roedel, Jr.

Respectfully submitted,



John K. Roedel, Jr., Reg. No. 25,914

JKRcwa

FEE TRANSMITTAL

Application Number to be assigned
Filing Date February 5, 2001
First Named Inventor David A. Morgenstern
Attorney Docket Number MTC 6770

METHOD OF PAYMENT

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☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.

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FEE CALCULATION

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(Type: Utility)
2. [X] EXTRA CLAIM FEES Subtotal (2) \$368.00
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Independent Claims 4
Multiple Dependent Claims 0
3. [] ADDITIONAL FEES Subtotal (3) \$_____
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 - [] Petitions to the Commissioner
 - [] Submission of Information Disclosure Statement
 - [] Recording each patent assignment per property
 - [] Request for Continued Examination
 - [] Other: _____

TOTAL AMOUNT OF PAYMENT \$1,078.00

John K. Roedel, Jr., Reg. No. 25,914 Date Feb. 5, 2001

JKRcwa

Express Mail Label No. EL742308423US

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

02/15/2001 JBALINAH 00000037 09776801

01 FC:101	710.00 OP
02 FC:102	80.00 OP
03 FC:103	288.00 OP

PTO-1556
(5/87)